

**Oak Grove Capital, LLC**  
**Form CRS Customer Relationship Summary, June 30, 2020**

<p><b>Introduction</b></p>	<p>Oak Grove Capital, LLC (OGC) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at <a href="http://investor.gov/CRS">investor.gov/CRS</a>, which also provides educational materials about broker-dealers, investment advisors, and investing.</p>
<p><b>What investment services and advice can you provide me?</b></p>	<p>OGC provides discretionary investment advisory services to retail investors including individuals and businesses clients. OGC bases its advice on the client’s risk tolerance, objectives and financial situation. Our discretionary authority includes authority to select the identity and amount of securities to be bought or sold. Discretionary transactions will be done in accordance with the client’s goals and other factors mentioned above.</p> <p>We review our client’s accounts on a quarterly basis. These reviews include an account’s performance in light of the client’s needs and objectives. Based on these reviews, we may change the investment strategies and weightings of particular securities.</p> <p><b>For additional information</b>, please see our Form ADV, Part 2A, Items 4 and 7.</p> <p><i>Conversation Starter:</i> Ask your financial professional ---</p> <ul style="list-style-type: none"> <li>• <b>Given my financial situation, should I choose an investment advisory service? Why or why not?</b></li> <li>• <b>How will you choose investments to recommend to me?</b></li> <li>• <b>What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?</b></li> </ul>
<p><b>What fees will I pay?</b></p>	<p>You will pay an asset-based fee for investment advisory services the details of which are disclosed in our Form ADV, Part 2A, Item 5. The specific fee for each investor will be defined in that investor’s comprehensive portfolio management agreement. The more assets there are in a retail investor’s account, the more a retail investor will pay in fees and OGC may therefore have an incentive to encourage the retail investor to increase the assets in their account. Advisory fees are payable quarterly, in advance, and are based on a percentage of the market value of assets under management on the last day of the previous quarter for a particular client. Fees will generally be automatically deducted from the client’s account based on the client’s authorization to directly debit fees from such accounts in accordance with the terms of the advisory agreement.</p> <p>Our fees are separate and distinct from fees and expenses that may be imposed by any broker dealer(s) or qualified custodian(s), where the account may be held by other third parties. These other fees may include custodial fees, brokerage commissions, charges imposed by a mutual fund.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on</p>

	<p>your investments over time. Please make sure you understand what fees and costs you are paying.</p> <p>For additional information please see OGC’s Form ADV, Part 2A, Item 4 and 5.</p> <p><i>Conversation Starter:</i> Ask your financial professional ---  <b>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</b></p>
<p><b>What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</b></p>	<p>When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the recommendations we provide you. Here are some examples to help you understand what this means.</p> <p>OGC has an incentive to recommend that its clients deposit additional funds into their accounts being managed by OGC. The more assets there are in a retail investor’s account, the more a retail investor will pay in fees and OGC may therefore have an incentive to encourage the retail investor to increase the assets in their account. We address this conflict by disclosing it to you. Additionally, as a matter of general policy, we aggressively discourage activities that do not put your interests first and foremost.</p> <p><i>Conversation Starter:</i> Ask your financial professional ---  <b>How might your conflicts of interest affect me, and how will you address them?</b></p>
<p><b>How do your financial professionals make money?</b></p>	<p>Financial professionals receive compensation as a result of the fees you pay. Fees are earned to manage client’s investments. Please refer to the firm's ADV Part 2 for the fee schedule. The professionals are paid the same pay out percentage no matter the amount of assets they service. They do not receive any incentive compensation from any product provider. They do not receive additional compensation for the revenue the firm makes on a professional’s recommendations.</p>
<p><b>Do your financial professionals have legal or disciplinary history?</b></p>	<p>No.</p> <p>Visit <a href="http://investor.gov/CRS">investor.gov/CRS</a> for a free and simple search tool to research us and our financial professionals.</p> <p><i>Conversation Starter:</i> Ask your financial professional ---  <b>As a financial professional, do you have any disciplinary history? For what type of conduct?</b></p>
<p><b>Additional Information</b></p>	<p>For additional information about our services, please request a copy of the OGC Form ADV, Part 2A. If you would like additional, up-to-date information or a copy of this disclosure, please call 412-515-8899.</p> <p><i>Conversation Starter:</i> Ask your financial professional ---  <b>Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</b></p>